

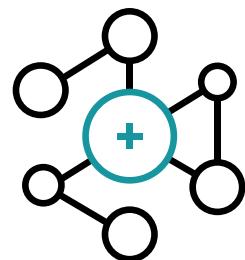
F&C CPAs

INTERNAL AUDITS

**TRIBAL GAMING
& ENTERPRISE**



Tribal
Business
Services



Trusted Partners in Safeguarding Tribal Gaming Success

At F&C CPAs, we understand the unique challenges Tribal Gaming Enterprises face. Our outsourced internal audit services are designed not to assign blame but to be a trusted partner in safeguarding your operations. We work collaboratively to identify risks and vulnerabilities, ensuring that your enterprise is secure, compliant, and positioned for long-term success.



For more information, visit Finley-Cook.com



See page 2 for more info and contact details



TRIBAL GAMING,
GOVERNMENT, ENTITIES &
ENTERPRISE CAPABILITIES

INTERNAL AUDITS

An internal audit is a systematic, independent evaluation of an organization's internal controls, risk management processes, and governance. Its primary purpose is to ensure that operations are effective, efficient, and compliant with relevant laws and regulations. By identifying potential risks and areas for improvement, internal audits help organizations safeguard their assets, maintain integrity, and achieve their strategic objectives.

Key Components

- 1 Comprehensive Risk Assessment:** Begin with a thorough evaluation of potential risks specific to Tribal Gaming operations, including regulatory compliance, financial integrity, and operational efficiency.
- 2 Tailored Audit Plan:** Develop a customized audit strategy that aligns with the unique needs and objectives of the gaming enterprise, ensuring all critical areas are addressed.
- 3 Expert Knowledge of Regulations:** Leverage specialized expertise in Tribal Gaming laws, including MICS 543, 542, and applicable Title 31 regulations, to ensure a culture of compliance.
- 4 Independent Review and Reporting:** Maintain objectivity by providing unbiased assessments and clear, actionable reports that highlight strengths, vulnerabilities, and areas for improvement.
- 5 Collaboration with Casino Management:** Work closely with casino management to gather accurate information, provide valuable insights, and foster a cooperative approach to risk management.
- 6 Continuous Monitoring and Follow-Up:** Implement ongoing audit procedures and periodic reviews to ensure that recommendations are effectively implemented and that the operation remains compliant and secure over time.
- 7 Transparent Communication:** Ensure open and clear communication with Tribal Regulators and casino management, keeping them informed of findings, recommendations, and progress throughout the audit process.



Tax Solutions



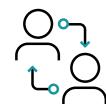
Compliance



Business Services



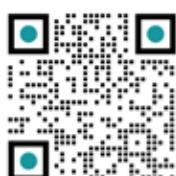
Advisory & Strategy



Training



Enterprise Technology



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